

AO 10*
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT NOMINATION FILING

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Barrett, Amy C.	2. Court or Organization 7th Circuit	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) <input checked="" type="checkbox"/> Nomination Date 05/08/2017 <input type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 1/1/2016 to 4/25/2017
7. Chambers or Office Address Eck Hall of Law Notre Dame Law School Notre Dame, IN 46556		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Professor	Notre Dame Law School
2. Trustee	Trinity Schools, Inc.
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2015	University of Notre Dame, salary	\$201,548.00
2. 2015	Alliance Defending Freedom, honorarium	\$2,100.00
3. 2015	The Federalist Society, honoraria	\$3,000.00
4. 2015	Cengage Learning, Inc., honorarium	\$600.00
5. 2016	University of Notre Dame, salary	\$209,676.00
6. 2016	Alliance Defending Freedom, honorarium	\$2,100.00
7. 2016	The Federalist Society, honoraria	\$3,000.00
8. 2016	National Constitution Center, honorarium	\$1,000.00
9. 2016	The Veritas Forum, Inc., honorarium	\$3,500.00
10. 2017	University of Notre Dame, salary	\$48,245.00
11. 2017	The Federalist Society, honorarium	\$1,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

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IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Exempt				
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Trinity School at Greenlawn	Tuition Agreements	J
2.	St. Joseph Grade School	Tuition Agreements	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
1. Wells Fargo Cash Accounts	A	Int./Div.	J	T	Exempt					
2. Rental of Residence, South Bend, IN	E	Rent	N	W						
3. Brokerage Account #1 (H)										
4. - Fidelity Dividend Growth	A	Dividend	K	T						
5. - Fidelity Trend	A	Dividend	K	T						
6. - Fidelity Money Market Fund	A	Dividend	K	T						
7. - Wal-Mart Stores Inc. Com.	A	Dividend	J	T						
8. Brokerage Account #2 (H)										
9. - American Funds AMCAP F2	A	Dividend	J	T						
10. - American Funds American Mutual Fund F2	A	Dividend	J	T						
11. - Blackrock Global Long/Short Credit Institutional	A	Dividend	J	T						
12. - Securian Brokerage Money Market Account	A	Dividend	J	T						
13. - Dodge & Cox Income	A	Dividend	J	T						
14. - Franklin Mutual Global Discovery Fund - Class Z	A	Dividend	K	T						
15. - Oppenheimer Senior Floating Rate Fund	A	Dividend	J	T						
16. - PIMCO Funds Income Fund Institutional	A	Dividend	K	T						
17. - Principal Global Diversified Income Fund Class (P)	A	Dividend	J	T						

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes: J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
 3. Value Method Codes: P3=\$25,000,001 - \$50,000,000 R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) Q=Appraisal U=Book Value V=Other W=Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	Place "(X)" after each asset exempt from prior disclosure									
18. - Oppenheimer Steelpath MLP Select 40 Fund Class I	A	Dividend	J	T						
19. - Templeton Global Bond Fund - Advisor Class	A	Dividend	K	T						
20. - Vanguard Specialized Portfolio REIT Index ADM	A	Dividend	K	T						
21. - PIMCO Short-Term	A	Dividend	J	T						
22. - AMG Yackman Fund Service Class	B	Dividend	K	T						
23. - Homestead Small-Company Stock Fund	A	Dividend	K	T						
24. - Loomis Strategic Income Fund-Y	A	Dividend	J	T						
25. - American Funds New Perspective Fund-P3	A	Dividend	J	T						
26. - Oppenheimer Developing Markets Fund Y	A	Dividend	J	T						
27. - Oppenheimer International Small Company	A	Dividend	J	T						
28. - Primecap Odyssey Funds Growth Fund	A	Dividend	J	T						
29. - Rowe T. Price Blue Chip Growth Blue Chip GW I	A	Dividend	J	T						
30. - Lord Abbett Short Duration Income Fund Class F	A	Dividend	J	T						
31. - Prudential Short-Term Corporate Bond Fund, Inc., Class Z	A	Dividend								
32. - Weitz Short-Intermediate Income Fund	A	Dividend								
33. - Franklin Strategic Income Fund Advisor Class	A	Dividend								
34. IRA #1 (H)										

1. Income Gain Codes: A = \$1,000 or less (See Columns B1 and D4) B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 2. Value Codes: P = \$50,001 - \$100,000 J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 3. Value Method Codes: Q = Appraisal R = Cost (Rent Estate Only) S = Assessment T = Cash Market U = Book Value V = Other W = Estimated

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VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-68 of filing instructions.)*

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - American Funds New Perspective F2	A	Dividend	J	T					
36. - AMG Yackman Fund Service Class	A	Dividend	K	T					
37. - Securian Brokerage Money Market Account	A	Dividend	J	T					
38. - Homestead Small-Company Stock Fund	A	Dividend	K	T					
39. - Loomis Strategic Income Fund-Y	A	Dividend	J	T					
40. - Franklin Mutual Global Discovery Fund-Class Z	A	Dividend	J	T					
41. - Oppenheimer Developing Markets Fund Y	A	Dividend	J	T					
42. - Principal Global Diversified Income Fund Class P	A	Dividend	J	T					
43. - Rowe T. Price Blue Chip Growth Blue Chip GW 1	A	Dividend	J	T					
44. - TCW Total Return Bond 1	A	Dividend	J	T					
45. - Templeton Global Bond Fund-Advisor Class	A	Dividend	J	T					
46. - Vanguard Specialized Portfolio REIT Index ADM	A	Dividend	J	T					
47. IRA #2 (H)									
48. - American Funds Capital Income Builder Class C	A	Dividend	J	T					
49. - Securian Brokerage Money Market Account		None	J	T					
50. IRA #3 (H)									
51. - AQR Funds Managed Future Strategies 1	A	Dividend	J	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
 2. Value Codes J=\$15,001 - \$50,000 K=\$50,001 - \$100,000 L=\$100,001 - \$250,000 M=\$250,001 - \$500,000
 (See Columns C1 and D3) N=\$500,001 - \$1,000,000 O=\$1,000,001 - \$5,000,000 P1=\$5,000,001 - \$25,000,000 P2=\$25,000,001 - \$50,000,000
 P3=\$50,000,001 - \$100,000,000 P4=More than \$100,000,000
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
 (See Column C2) U=Book Value V=Other W=Estimated

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	52. - Securian Brokerage Money Market Account	A	Dividend	J	T					
53. - Columbia Commodity Strategy Fund-R4	A	Dividend	J	T						
54. - Dodge & Cox International Stock Fund	A	Dividend	J	T						
55. - Harbor International Fund	A	Dividend	J	T						
56. - Homestead Value Fund	A	Dividend	J	T						
57. - Invesco Growth Ser. Inv. Conv. Sec. Fund Y	A	Dividend	J	T						
58. - Loomis Strategic Income Fund-Y	A	Dividend	J	T						
59. - Northern Small Cap Value Fund	A	Dividend	J	T						
60. - Oppenheimer Developing Markets Fund Y	A	Dividend	J	T						
61. - Primecap Odyssey Funds Growth Fund	A	Dividend	J	T						
62. - Principal High Yield (P)	A	Dividend	J	T						
63. - Prudential Global Real Estate Class Z	A	Dividend	J	T						
64. - Rowe T. Price Blue Chip Growth Blue Chip Gw. I	A	Dividend	J	T						
65. - JP Morgan Small Cap Growth Fund-L	A	Dividend	J	T						
66. - Templeton Global Bond Fund-Advisor Class	A	Dividend	J	T						
67. - Victory Munder International Small-Cap Fund Class Y	A	Dividend	J	T						
68. - Goldman Sachs Mid Cap Value Inst.	A	Dividend								

1. Income Gain Codes: A = \$1,000 or less
 (See Columns B1 and D4) F = \$50,001 - \$100,000
 2. Value Codes J = \$15,000 or less
 (See Columns C1 and D3) N = \$250,001 - \$500,000
 P3 = \$250,000.01 - \$50,000,000
 3. Value Method Codes Q = Appraisal
 (See Column C2) U = Book Value
 B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 O = \$500,001 - \$1,000,000
 R = Cost (Real Estate Only)
 V = Other
 C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 L = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$50,000,000
 S = Assessment
 W = Estimated
 D = \$5,001 - \$15,000
 H2 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000
 T = Cash Market
 E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1)	(2)	(3)	(4)	(5)
	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. - Lord Abbett Total Return	A	Dividend							
70. - American Funds New Perspective Class C	A	Dividend							
71. -American Funds Growth Fund of America Class C	A	Dividend							
72. 403(B) (H)									
73. - Vanguard Institutional Tr. 2040	A	Dividend	O	T					
74. 401(K) (H)									
75. - Fidelity Low Price Stock	A	Dividend	L	T					
76. - Vanguard Extended Market Index Fund Institutional Shares	A	Dividend	K	T					
77. - PIMCO Total Return Institutional Class	A	Dividend	J	T					
78. Indiana 529 Plan #1 (H)									
79. - U.S. Equity Index Portfolio		None	L	T					
80. - International Portfolio		None	J	T					
81. - Bond Portfolio		None	J	T					
82. 529 Plan #2 (H)									
83. - Louisiana Principal Protection Fund	A	Distribution	J	T					
84. - Vanguard Institutional Total Stock Market Index, Inst. Plus	A	Dividend	K	T					
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.									
87.									
88.									
89.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. I served on the Board of Trustees for Trinity Schools, Inc. The trust was not created directly by the reporting person, her spouse, or any dependent child; and neither the filer, her spouse, nor any dependent child has any knowledge of the holdings or sources of income.

Part III-A. I received all listed honoraria prior to my nomination.

Part VII, Line 2. My spouse rents out our residence for a limited number of nights per year. The value in Column C is the estimated value of the residence. There is an outstanding mortgage on the residence that is not listed in Part VI because it is the primary residence. The income from the rentals does not cover mortgage payments and upkeep costs.

Part VII, Lines 12, 37, 49, and 52. The Securian Brokerage Money Market Accounts are holding accounts for cash in the accounts.

Part VII, Lines 72 and 78. The 403(b) account and the 529 account provide the market value of the investment vehicles in the accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Amy C. Barrett*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		9	877	Notes payable to banks-secured (auto)			
U.S. Government securities				Notes payable to banks-unsecured			
Listed securities – see schedule	1	278	131	Notes payable to relatives			
Unlisted securities				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid income and interest			
Doubtful				Real estate mortgages payable – see schedule		175	021
Real estate owned – see schedule		423	822	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts-itemize:			
Autos and other personal property		7	045				
Cash value-life insurance							
Other assets itemize:		10	000				
Thrift Savings Plan		238	198				
Indiana CollegeChoice 529		96	311				
Louisiana START Saving Program (Louisiana Principal Protection Fund)		6	811	Total liabilities		175	021
				Net Worth	1	895	174
Total Assets	2	070	195	Total liabilities and net worth	2	070	195
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal Claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							

FINANCIAL STATEMENT

NET WORTH SCHEDULES

Listed Securities

Fidelity Dividend Growth	\$32,892
Fidelity Trend	25,898
Fidelity Money Market	39,678
Wal-Mart Stores Inc. Com.	2,173
Vanguard Institutional Tr. 2040	624,162
Fidelity Low Price Stock	80,821
Vanguard Extended Market Index Fund Institutional Shares	16,827
PIMCO Total Return Institutional Class	21
Principal Global Diversified Income Fund Class P	18,314
American Funds Capital Income Builder Class C	1,075
American Funds New Perspective F2	11,013
Franklin Mutual Global Discovery Fund - Class Z	33,074
American Funds New Perspective Fund-F3	13,866
Securian Brokerage Money Market Account	7,808
Oppenheimer Developing Markets Fund Y	15,350
Dodge & Cox International Stock Fund	5,082
Harbor International Fund	5,661
Oppenheimer International Small Company	7,087
Victory Munder International Small-Cap Fund Class Y	2,738
American Funds AMCAP F2	10,237
Primecap Odyssey Funds Growth Fund	9,185
Rowe T Price Blue Chip Growth Blue Chip GW 1	30,767
American Funds American Mutual Fund F2	10,239
Homestead Value Fund	8,218
Columbia Commodity Strategy Fund-R4	1,553
Prudential Global Real Estate Class Z	2,783
Vanguard Specialized Portfolio REIT Index ADM	19,444
JP Morgan Small Cap Growth Fund-L	2,835
Homestead Small-Company Stock Fund	36,912
Northern Small Cap Value Fund	4,792
AMG Yacktman Fund Service Class	36,965
AQR Funds Managed Future Strategies 1	2,607
Dodge & Cox Income	12,693
Oppenheimer Senior Floating Rate Fund	12,530
PIMCO Short-Term	4,325
Lord Abbett Short Duration Income Fund Class F	4,348
TCW Total Return Bond I	5,529
Templeton Global Bond Fund-Advisor Class	32,206
Principal High Yield (P)	1,611
Invesco Growth Services Inv. Convertible Securities Fund Y	1,581
PIMCO Funds Income Fund Institutional	19,721
Blackrock Global Long/Short Credit Institutional	12,632

Loomis Strategic Income Fund-Y	19,436
Oppenheimer Steelpath MLP Select 40 Fund Class 1	6,254
Vanguard Institutional Total Stock Market Index, Inst. Plus	<u>25,188</u>
Total Listed Securities	\$1,278,131

<u>Real Estate Owned</u>	
Personal Residence	<u>\$423,822</u>
Total Real Estate Owned	\$423,822

<u>Real Estate Mortgages Payable</u>	
Personal Residence—Mortgage	<u>\$175,021</u>
Total Real Estate Mortgages Payable	\$175,021